STUDENT HANDBOOK

REQUIREMENTS AND PROCEDURES FOR M.A. AND Ph.D. DEGREES IN SOCIOLOGY

Degree Program
The Department offers a program of studies leading to the Doctor of Philosophy in Sociology and cooperates with the College in providing undergraduate education leading to the Bachelor of Arts degree.

A student entering the program with a B.A. degree can in principle complete the Ph.D. degree in four academic years of study, though more typically the degree takes five to seven years. Satisfactory completion of the first phase of the Ph.D. program is signified by the awarding of an M.A. degree.

Admissions and Fellowships
All applicants for admission are required to submit general Graduate Record Examination scores. Applicants who are not U.S. citizens or U.S. permanent residents must take the Test of English as a Foreign Language (TOEFL) or the test administered by the International English Language Testing System (IELTS). The Department of Sociology encourages graduate study by students who have done their undergraduate work in sociology as well as other social sciences, mathematics and other fields. The Department also encourages students who have had work experience, governmental or military service, or community and business experiences to apply.

Application must be made through the University's Online Application for Graduate Studies, beginning in early September for the following academic year. All applicants who indicate on the graduate application that they would like to be considered for financial aid will be reviewed for merit-based scholarships and fellowships; there are no separate forms to complete. Applicants to our program are considered for all fellowship resources at the University of Chicago for which they are eligible, including diversity fellowships, Title VI Foreign Language and Area Studies Fellowships, and fellowships offered through a grant from the Institute of Education Sciences, as well as Divisional fellowships. Fellowships are allocated based on the criteria of academic record and scholarly promise; financial need and United States citizenship are not factors. Some graduate students also receive fellowship support from outside sources.

The deadline for application is December 1.
REQUIREMENTS FOR M.A. AND Ph.D. DEGREES

Milestones for making good progress in the Ph.D. program
The Department of Sociology is invested in the success of all of our students. To help students be able to clearly evaluate their progress in the program, we have established a series of milestones that we expect students to meet for the duration of their enrollment in the Ph.D. program. Each year students will complete a progress report to help the department evaluate their standing in the program. This progress report will include: a current CV, drafts of conference papers/articles/chapters, and a current timeline for completing pending milestones.

Formal Requirements for the Ph.D. Program
One part of making good progress in the Ph.D. program is meeting formal deadlines and completing required coursework. Here, we set out formal program requirements that carry the sanction of academic probation if not met on time. Being unable to meet a formal requirement will result in academic probation. Students facing personal barriers to the timely completion of formal requirements may need to take an official leave of absence from the program. Please see “Academic Probation” and “Leave of Absence” for more details.

Pre-ABD Requirements
- Complete five MA Examination courses with a grade of B- or higher and submit M.A. Examination petition [Year One]
- Do not hold two or more incompletes or blank grades in the same quarter [All Years prior to ABD]
- Complete required coursework with a B average [Years One-Three]
- Submit qualifying paper/revisions to qualifying paper [Year Two-Three]
- Complete the Special Field requirement [Year Three]
- Complete at least three of the four required Mentored Teaching Experiences (MTEs) [Year One-Four]. Students who come to the Ph.D. program with an M.A. degree may begin to teach in Year 1. All other students are encouraged to do two mentored teaching experiences in Year 2.
- Submit an annual progress report to the Department each Spring [All Years]

Post-ABD Requirements
- Complete remaining MTE(s). Students who have not completed three MTEs by spring of Year 5 will face academic probation. Students who have not completed four MTEs during their time in the program will not be able to schedule a dissertation defense.
- Submit an annual progress report each Spring. Post-ABD, students must show evidence of progress toward their dissertation research. Progress can take the form of: successfully defending a dissertation proposal, submitting a grant proposal for research funding (whether or not it was successful), submitting a chapter or article draft from dissertation research
- Hold an annual all-committee meeting within the one-year anniversary of the proposal defense
- All students are required to graduate by summer of Year 7 or face removal from the program

Informal Expectations and Encouragements for Good Progress
Another important part of making good progress in our Ph.D. program is about taking steps to create a strong research portfolio for a future career. These steps include building relationships with faculty members, participating in an intellectual community, and publishing your research. Here we identify some a timeline for how to build a strong portfolio as a sociological researcher.
In Year 1 and Year 2 students should begin to develop a relationship with at least two faculty members. By Year 3, students should have a good idea of the two to four departmental faculty who will serve on their dissertation committee. To build these relationships, students should meet with faculty, take courses with faculty, and/or ask faculty to be readers on qualifying papers and special field exams.

By Year 2, students are strongly encouraged to regularly attend a workshop in their area of interest. By Year 4, students should be presenting at a workshop once a year.

By spring of Year 3, students should be able to show evidence of an article under review in a sociology journal and/or of a paper submitted to a professional conference.

By spring Year 4, students should have evidence of a published article or an article under submission or in the revision process. Articles may be solo-authored or co-authored. As students develop a publication portfolio, they are encouraged to prioritize having at least one article that is solo-authored or an article where the student is the first co-author/one of two equal co-contributors by the time they are on the job market.

Starting in Year 2 or Year 3, students are encouraged to present at relevant conferences, such as, but not limited to, the annual meeting of the American Sociological Association, the Population Association of American, the Social Science History Association, and the Society for the Study of Social Problems.

Students are encouraged to seek external and internal research grants and fellowships to support their research when appropriate.

To discuss these formal requirements or steps toward building a strong portfolio, students can seek advice from their faculty adviser(s), the Director of Graduate Studies (a position that rotates every few years to a different faculty member in the department), and the Graduate Studies Administrator. Students are also able to meet with the Dean of Students’ Office in the Social Sciences Division.
Overview of General Requirements

1. Advisors

We expect Sociology Ph.D. students to be responsible and independently self-driven scholars. This includes establishing good working relationships with faculty by taking and excelling in their classes and seeking faculty advice during their office hours. In addition, the department has established a formal system of academic advising in which every student has a personal academic advisor to help plan their course of study, to discuss potential and actual research projects, and to discuss their progress in the program. There are three types of faculty advisors during the student’s graduate school trajectory: a formal faculty advisor, a qualifying paper advisor, and a dissertation committee chair. They can all be the same person, or they can all be different.

For ease of initial orientation in the program and the university, students are upon arrival assigned a 1st-year formal faculty advisor to serve as their contact person for the first year of their studies in the department. The role of the formal advisor is to counsel the student on all academic issues including the suitability of course options, study strategies, formulation of a teaching plan, and potential research projects. The administratively assigned faculty advisor can be changed at any point to a faculty member more aligned with the interest of the student (see below for procedure).

During the Spring quarter of Year 1, students are encouraged to select a qualifying paper advisor from among the Sociology faculty who will henceforth serve as their formal faculty advisor in all capacities. Naming the advisor for their qualifying paper before the summer following the first year will allow students to begin the process of identifying a qualifying paper topic in a timely fashion, including using a part of the summer between first and second years for initial qualifying paper research. The qualifying paper advisor should be decided at the latest by the end of second week Autumn quarter of Year 2. Unless the student effects a further change after the qualifying paper has been submitted, the qualifying paper advisor will remain in the role of formal faculty advisor until a dissertation chair is named by the student with the consent of the concerned faculty.

A dissertation chair can be named any time after the qualifying paper has been submitted, but must be named at the very latest in Spring quarter of Year 4 to help the student prepare a proposal and assemble a suitable committee. As soon as a dissertation chair is named, this person will assume all advising roles. It is possible to name two members of the faculty to serve conjointly as dissertation chairs.
Students should make contact with their advisors at least once per quarter during their office hours. The formal advisor also tracks the student’s progress in the program and should be consulted as soon as potential delays in program progress become apparent. In such a case advisor and student can work together on remedies. Program delays that exceed the length of one quarter also need to involve the Director of Graduate Studies (see “7. Leave of Absence...”).

All changes in advisors must be effected by notifying the old advisor by e-mail (cc graduate studies administrator) and by declaring the new advisor who has given her or his consent to the graduate student administrator in writing (cc new advisor and the graduate studies administrator).

2. Number of Courses Required

Students matriculating at Chicago without a prior M.A. degree must pass eighteen courses, exclusive of language instruction, at the level of B- or higher for the Ph.D. Nine of these must be passed at the B- or higher level for the M.A. These students are expected to complete nine courses in Year 1 and a total of eighteen by the end of Year 3. Students are expected to maintain a B average.

Students matriculating at Chicago who already have a master's degree (in sociology or another field) from another institution or who have a master's degree from Chicago in a field other than sociology must pass fifteen courses, exclusive of language instruction, at the level of B- or higher for the Ph.D. degree. These students are expected to complete nine courses in Year 1 and fifteen by the end of Year 3. Students are expected to maintain a B average.

3. Required Courses

- History of Social Theory (SOCI 30003)
- A second theory course of the student’s choice, taught by a member of the Department of Sociology (must be completed by Spring Year 3 – this applies to the 2020 and beyond entering cohorts)
- Two statistics courses in Year 1
- Pro-Seminar in Year 1 (SOCI 60020, non-credit, taken as pass/fail)
- Principles in Year 1 (SOCI 30002)
- Five M.A. Examination courses in Year 1 (must include History of Social Theory)
- 2nd Year Writing Seminar (SOCI 30006/30007; for 2020-21, one course over Winter and Spring)
- 3rd Year Proposal course (Autumn, one quarter only—starts in 2020-2021 with the 2018 cohort)

Entering students are required to take SOCI 30002 Principles of Sociological Research and SOCI 30003 History of Social Theory during Year 1. Entering students must also register for and participate in the required non-credit first-year colloquium: SOCI 60020 1st-year Pro-Seminar: Research Questions and Design in Autumn of the first year of residence. The Pro-Seminar does not count toward the 18 required graded courses. In Year 2, all students must complete the Writing Seminars (SOCI 30006/30007); each seminar is one course spread over Winter and Spring quarters. The Writing Seminar will support 2nd-year as they develop their qualifying papers. In Year 3, the Dissertation Proposal course helps students workshop their dissertation projects.

4. Statistics Requirement

A basic literacy in statistical methods is necessary to read much of the sociological literature. For the Ph.D., therefore, all students are required to complete for graded credit two quarters of coursework in statistical methods in Year 1. Students whose background in statistics is at or below that offered at the introductory course level are required to take SOCI 30004 and 30005 (Statistical Methods 1 and 2), or, in any year that SOCI 30004 is not offered, STAT 20000 (Elementary Statistics) and SOCI 30005.
Those who have some knowledge of calculus may consider substituting STAT 22000 (Statistical Methods & Their Applications) for SOCI 30004. Those with solid command of calculus (preferably multivariate) may consider the sequence of STAT 24400 and 24500 (Statistical Theory and Methods 1 & 2) as a substitute for the sociology sequence. (This statistics-department sequence is preferable for students who may intend to take an MS in statistics in parallel with a Ph.D. in sociology.)

Those who have successfully completed statistical coursework elsewhere at or above the level of SOCI 30004 and 30005 may fulfill the department’s statistics requirement by taking at least two 40000-level courses covering advanced statistical techniques and/or applications in Year 1. Entering students should consult the department before registering as to which option is appropriate given their prior coursework and scholarly plans, since the sociology sequence starts in Winter while some of the alternatives start in the Autumn.

5. Grade Requirement

In order to be admitted to the second year of study, a student must have letter grades posted in all nine first-year courses (P's, I's, R's are not counted) and must have a B average or better on the best seven grades.

Students are strongly discouraged from taking incompletes or blank grades in their courses except in cases of emergency. Students who hold more than two incompletes or blank grades at a time will be placed on academic probation.

6. Residency

We expect students to be in residence in Chicago or its environs during the academic year until they are admitted to Ph.D. candidacy. Exceptions may be made to accommodate field research or specialized training, but those exceptions must be discussed with a student’s academic adviser and approved by both the adviser and the Director of Graduate Studies.

7. Leave of Absence / Time Off Policies / Accommodations

Some circumstances, including medical emergencies, the birth of a child, or the need to provide care for a sick parent, present barriers to making timely progress. Students should familiarize themselves with the policies that will inform the related accommodations they can reasonably expect, especially the graduate student parent policy [found at https://studentmanual.uchicago.edu/university-policies/graduate-student-parent-policy/] and the university-wide policy on voluntary leaves of absences [found at https://studentmanual.uchicago.edu/academic-policies/voluntary-leaves-of-absence/].

Students must initiate conversations to plan for any leave period and work with the Graduate Administrator, the Director of Graduate Studies, and their primary advisor to align expectations about accommodations that can be made to their academic timeline in response. Students facing on-going mental or physical health issues will negotiate accommodations with guidance from Student Disability Services. In cases of significant and long-term personal or medical issues, students will work with the Dean of Students’ Office about taking a leave of absence from the program.

8. Mentored Teaching Experiences (MTEs)

Students are required to complete four mentored teaching experiences (MTEs) during their time in the program. MTEs are intended to help students form working relationships with faculty, to build students’ skills with public speaking and presentation, and to develop students’ capacity to teach a method or area of sociological inquiry effectively. Students will work with their advisers in Year 1 to develop an
individualized teaching plan that details their goals for developing pedagogical experience in a particular area, such as sociological theory or statistical methods. The Graduate Administrator and the Director of Graduate Studies will be responsible for matching students with MTE positions.

Three mentored teaching experiences will typically be TA-ships in a course taught by a faculty member of the sociology department. Students in the TA role will attend lectures, and will engage in varied kinds of interactions with students, which may include leading discussion sections, leading review sessions, supervising research assignments, grading papers and exams, among other roles. All of these engagements will be advised by the instructor(s) of record.

There are several ways of completing the fourth mentored teaching experience, including, for example: an additional TA-ship with a faculty member in the Sociology Department or related discipline; completing the “Course Design and College Teaching” course at the Center for Teaching and Learning; serving as the co-leader of a group of MA or BA students who are engaged in related research projects under the supervision of a faculty member of the Department of Sociology, or teaching a prize lectureship.

**Timing of MTEs**

Students typically begin teaching in Year 2, though students who enter the program with an M.A. may be able to begin teaching in Year 1. Students are expected to complete three mentored teaching experiences by spring Year 3. The fourth teaching experience must be completed prior to scheduling a dissertation defense. Students who have not completed four mentored teaching experiences will not be allowed to defend their dissertation and graduate.

**9. M.A. Examinations Requirement**

First-year Ph.D. students are required to take a total of five sociology (SOCI) courses designated as “exam courses” among their nine graded courses; designated exam courses will vary from year to year. The courses are divided into ten topic areas. Students are required to take SOCI 30003 History of Social Theory as one their exam courses. For the remaining four courses, students select ONE course each from four additional subject areas. Students are not allowed to count multiple courses from the same subject area or to substitute in courses that are not on the list of designated exam courses for their cohort year. Failure to complete five M.A. Examination courses in Year 1 will result in academic probation. Additional information concerning the M.A. Examinations requirement is given in Appendix A.

**10. The M.A. Degree**

The master’s degree is awarded for completion of nine courses (including the required first-year courses) at the level of B- or higher and completion of the M.A. Examination requirements, including the petition process, as outlined in Appendix A.

To apply for the degree, the student should apply on-line via the Registrar’s my.uchicago.edu website by the beginning of the quarter in which the degree will be awarded and inform the Department’s Graduate Studies Administrator. Department certification that the student is eligible to take the degree must be given to the Office of the Dean of Students three and a half weeks before convocation. It is the responsibility of the student to make certain all requirements for the receipt of the degree have been fulfilled on time. If all requirements are not met by that date, the student is automatically dropped from the graduation list.
11. Qualifying Paper

The qualifying paper should represent an original piece of scholarship or theoretical analysis and must be written in a format appropriate for submission to a professional publication. Note that the requirement is “publishable,” not “published,” although many recent papers have been presented at professional conferences and eventually published. The paper is prepared under the direct supervision and approval of a faculty member and may be written or revised in connection with one or more regular courses. Qualifying papers are single authored. Students entering with M.A. papers may petition to submit a supervised revision to meet the qualifying paper requirement.

By Friday of week 10 of Spring Quarter Year 2, students must submit the final draft of their qualifying paper to the Graduate Administrator. The expectations for a final draft are such: the paper has undergone prior revision based on feedback from the required “2nd Year Writing Seminar” course, from a workshop or conference presentation, and/or from the faculty reader and other faculty in the department.

Following the model of a journal submission, the final draft sent to the Graduate Administrator must be accompanied by a one-page cover letter, addressed to the faculty reader, that gives a short overview of the paper and a detailed plan for which journal the student plans to submit the paper in Year 3. Students should identify the accepted word count at the selected journal and edit their paper accordingly. Students who miss the above deadline will be placed on academic probation.

The faculty reader is expected to return the results to the Graduate Administrator within one month of the due date. The results can be: accept without revision, conditionally accept with minor revisions, revise and resubmit (major revisions), or fail. Conditional accepts and revise and resubmits will be accompanied by feedback for revision. A failing grade will result in a terminal MA and withdrawal from the program.

Any requested revisions to the qualifying paper must be submitted to the Graduate Administrator by October 1 Year 3. Students must include a one-page cover letter that details the changes they have made to the paper. Students who miss this October 1 deadline will be placed on academic probation.

12. Special Field Requirement

Ph.D. students are required to demonstrate competence in two special fields. The Special Field Requirement is to be met during the third year of graduate study. Failure to complete both special field exams by Friday of week 10 of Spring Quarter Year 3 will result in students being placed on academic probation. There may be exceptions for students who are unable to complete a required methods course for the special field sequence – though this must be resolved by Friday of week 10 of Autumn Quarter Year 4. Students must complete the M.A. Examinations requirement before meeting the Special Field Requirement.

Results for Special Fields can be Honors, Pass, Conditional Pass, Fail. A Conditional Pass on a Special Field must be made up before the end of the quarter following the quarter in which the examination was taken. No student may complete a second exam or review essay until they have successfully passed the first one.

This requirement may be met in three ways: by examination, with a review essay, or through a specified sequence of methods courses.

A. Exam or review essay. Both the examination and review essay options are prepared on an individual basis in the fields of sociology in which the student wishes to develop research competence; one should be related to the subject of the subsequent Ph.D. dissertation. Each exam/review essay special field must be overseen by two faculty readers of the student’s choice (see “Procedures” in Appendix C). The examinations cover both theoretical and substantive
materials as well as the methods required for effective research. Preparation takes the form of specialized courses and seminars, supplemented by independent study and reading. For either the exam or essay, the student must first construct a bibliography to be approved by both faculty readers; readers must also agree in advance to either the examination or review essay format.

Examples of previously approved bibliographies are kept on file in the department office and can be made available to other students upon request. In recent years, the fields most commonly taken are urban sociology, organizational analysis, sociology of gender, sociology of education, culture/STS/ knowledge, sociology of health and medicine, economic sociology, political sociology, stratification, social movements/change, and sociology of religion.

B. Sequence of Courses. One of the two special field requirements may be met with a sequence of methodology courses. Three types of special fields in methodology are recognized: (1) social statistics, (2) survey research methods, and (3) qualitative methods.

One of the two Special Field Requirements may be taken in a related field outside of sociology. Additional information concerning the Special Field Examination, including procedures, is given in Appendix C.

13. Ph.D. Dissertation Proposal

The Ph.D. dissertation is judged by its contribution to sociological knowledge and the evidence that it shows of a candidate’s ability to carry out independent research. Students are strongly encouraged to consult with their dissertation advisor on the timing and expectations for a proposal defense, as expectations vary based on methods. For some projects, a proposal defense comes after some preliminary data collection. For other projects, a proposal defense occurs prior to the start of research. Taking this variation into account, the department has set the hard deadline for advancing to candidacy at the Friday of week 10 of Autumn Quarter Year 5 – though we strongly encourage students to be ABD by Friday of week 10 of Spring Quarter in Year 4. Students who do not meet the Year 5 deadline will be placed on academic probation.

All dissertation proposals should include a timeline that marks deadlines for collecting and analyzing data, writing up results, going on the job market, and graduating. No graduation date can be later than Summer Quarter Year 7. Student should also include a budget and plan for funding their research (if appropriate). Students may choose to use the National Science Foundation Doctoral Dissertation Improvement Fellowship – a research grant that is open to all students regardless of citizenship status – as a possible model for a dissertation proposal.

Normally, a Ph.D. thesis committee consists of a chair plus minimally two but up to four additional faculty members. At least two members of the dissertation committee, including the committee chair, must be voting members of the Sociology Department at the time that the proposal is defended. In cases where the chair retires or leaves the University, a co-chair must be designated from the current department faculty. Students may include faculty from other departments and schools on their thesis committee where they have special competence and relevant interests.

The proposal is subject to review by the faculty committee to determine whether the project is feasible and to assist the development of the research. Guidelines for preparation of the proposal are given in Appendix D.

Early in the development of a Ph.D. thesis, a hearing of at least one hour is held with the student and the members of the committee, plus any additional interested faculty. At this hearing, the student’s proposal is accepted if it meets Department standards. Graduate students are given assistance in modifying, focusing, or expanding their research plans. It is essential that the student pass all other
requirements before the Ph.D. proposal hearing is held. It is also expected that the dissertation committee will approve the proposal before the hearing is scheduled.

The student should distribute copies of the proposal to committee members. The proposal should also be submitted to the Department office in electronic format plus one printed copy at least ten days, preferably two weeks, before the hearing. The cover page should follow the format available in the Department office and specifically should include a list of the members of the dissertation committee. The printed copy of the proposal is kept on file in the department; the electronic copy is available upon request to other interested sociology faculty.

Additional information on the proposal hearing is given in Appendix D.

Yearly All-Committee Meeting for ABD students

Students who have advanced to candidacy are required to hold an all-committee meeting by the one-year anniversary of their proposal defense for the duration of their time in the program. The all-committee meeting is a chance for students to update faculty on research and writing progress and to address any issues that may have arisen. Students should also discuss their publication progress and their plans for the job market. Student who have scheduled a dissertation defense do not need to schedule an additional all-committee meeting.

Prior to the meeting, students should circulate to their committee members: a current CV, a summary of research progress to date, a chapter draft or conference paper from the dissertation research, and an up-to-date timeline for completion.

14. Admission to Candidacy for the Ph.D.

Upon formal acceptance of the dissertation proposal and completion of the other requirements listed above, the Department recommends that the Division of the Social Sciences admit the student to candidacy for the Ph.D. degree.

15. Dissertation Defense and Graduation

Students are expected to successfully defend their dissertation and graduate no later than Summer Quarter Year 7. Students should meet with the Graduate Administrator to prepare for the process of filing a dissertation within the quarterly departmental and university graduation deadlines. Students who do not defend and graduate by Summer Quarter of Year 7 will be removed from the program.

Students who have advanced to candidacy and later encounter serious research difficulties that necessitate a change to their approved research design may petition for an 8th year of enrollment and funding on or before Friday of week 10 of Spring Quarter in Year 6.

Please note: Students who have an incidence of academic probation on their record will not be eligible to submit a petition.

To petition for an 8th year of enrollment and funding, students must submit a 3-5 page document that includes a project summary/progress to date, an explanation of the research issue, and a revised research plan that details how the student plans to resolve the issue. The petition must also include a current CV, a revised timeline for a dissertation defense and graduation by Summer Quarter Year 8, and a letter of support from the dissertation adviser.

Petitions will be subject to an all-faculty vote and be contingent upon the student’s record of progress in the program. As an 8th year of enrollment and funding is intended only for exceptional circumstances, students should have a contingency plan in place if their petition is rejected.
Defense
The final Ph.D. oral examination is scheduled after the draft of the thesis has been approved by the committee chair and other committee members. The student sets the date and time of the defense with the dissertation committee members and informs the Department's Graduate Studies Administrator of the schedule; a room is then assigned by the Department for the defense. An abstract of no more than 350 words is to be submitted, along with the cover page and table of contents of the dissertation, to the Graduate Studies Administrator by email attachment (MSWord or pdf document) no less than one full week before the defense. These items are included in an announcement of the defense to the department's faculty.

It is required that the dissertation committee chair and at least two additional committee members be present with the student for the defense unless there are special circumstances. The student is responsible for ensuring that the arrangements for the defense meet departmental requirements.

Dissertation submission
The Dissertation Office’s website at https://www.lib.uchicago.edu/research/scholar/phd/ provides information on online submission of both the draft and final version of the dissertation as well as information on University-wide formatting requirements. The student is responsible for having the dissertation draft thoroughly copy-edited following acceptance by the dissertation committee and prior to submission to the Dissertation Office. The dissertation is to include a bibliography though this is not specified in the University-wide requirements. The student should consult with the Department’s Graduate Studies Administrator regarding submission deadlines and the process for obtaining departmental approval of the dissertation. **The Departmental deadline for dissertation submission is always earlier than the deadline posted on the Dissertation Office’s website – our deadline supersedes any deadline noted there.**

Ph.D. Degree
The student should register on-line for the degree via the Registrar's my.uchicago.edu website by the beginning of the quarter in which the degree will be awarded and inform the Department's Graduate Studies Administrator. Department certification that the student is eligible to take the degree must be given to the Office of the Dean of Students three and a half weeks before convocation. **It is the responsibility of the student to make certain all requirements for the receipt of the degree have been fulfilled on time.** If all requirements are not met by that date, the student is automatically dropped from the graduation list.

Students are encouraged to submit a bound copy of the approved thesis, which will join our historic collection of departmental dissertations in SS 310. We cannot accept spiral-bound copies for this purpose.

16. Academic Probation
In cases in which students are not making progress toward meeting program requirements or toward completing their research, they will be placed on academic probation. Students who are placed on academic probation will receive a letter from the department that details the reason for the probation, the conditions necessary to lift the probation, and the expected deadline for meeting those conditions. The faculty adviser will also be alerted. Students are strongly encouraged to meet with their adviser, the Director of Graduate Studies, and the Graduate Administrator about their plan to meet these conditions.

Once a student has been placed on academic probation, there is a one-quarter grace period for resolving the condition. For example, a missed spring quarter deadline must be resolved by the end of Summer Quarter. Failure to resolve the condition in this time frame will result in a student’s removal from the program.
Each student may be placed on academic probation only twice during their enrollment in the program. Incidents of probation are cumulative (e.g. missing two consecutive deadlines results in two separate incidents of academic probation). A third incidence of academic probation will result in a student’s removal from the program.

**Reasons for Academic Probation**
Students who have NOT advanced to candidacy (pre-ABD) will be placed on academic probation in the following cases: failing to meet a formal deadline for a program requirement (e.g. the qualifying paper and the special fields), not maintaining a B average in coursework, holding two or more incompletes or blank grades in the same quarter, and/or failing to complete an annual progress report for the department.

For students who have advanced to candidacy (ABD), academic probation will occur in the following cases: failure to hold the required all-committee meeting, failure to complete the annual progress report for the department; and/or no evidence of progress toward dissertation research, such as a chapter or article draft.
REGISTRATION

See the University of Chicago’s Student Manual for complete registration information.

STATEMENT ON CONDUCT

Students' attention is drawn to the Student Manual, University Policies [https://studentmanual.uchicago.edu/]. The University expects of all students responsible social conduct reflecting credit upon themselves and upon the University. Such offenses as simple theft and violation of housing or library regulations will not be tolerated by the University. Students' attention is especially directed to the statement on non-discrimination and sexual harassment in the Manual. Infractions will result in disciplinary action by the University which may lead to suspension or dismissal. See https://studentmanual.uchicago.edu/university-policies/university-of-chicago-policy-on-harassment-discrimination-and-sexual-misconduct/ for the University’s Policy on Harassment, Discrimination, and Sexual Misconduct.

ACADEMIC HONESTY

As students and faculty of the University of Chicago, we belong to an academic community with high scholarly standards of which we are justly proud. Our community also holds certain fundamental ethical principles to which we are deeply committed. We believe it is contrary to justice, to academic integrity, and to the spirit of intellectual inquiry to submit the statements or ideas or work of others as one's own. To do so is plagiarism or cheating, offenses punishable under the University's disciplinary system. Because these offenses undercut the distinctive moral and intellectual character of the University, we take them very seriously and punishments for them may range up to permanent expulsion from the University.

Proper acknowledgment of another's ideas is expected, whether that use is by direct quotation or by paraphrase, however loose. In particular, if any written source is consulted and material is used from that source, directly or indirectly, the source should be identified by author, title, and page number at minimum. Any doubts about what constitutes "use" should be addressed to the instructor.

EMBARGO

The public sharing of original dissertation research is a principle to which the University is deeply committed, and dissertations should be made available to the scholarly community at the University of Chicago and elsewhere in a timely manner. If dissertation authors are concerned that making their research publicly available might endanger research subjects or themselves, jeopardize a pending patent, complicate publication of a revised dissertation, or otherwise be unadvisable, they may, in consultation with faculty in their field (and as appropriate, research collaborators), restrict access to their dissertation for a limited period of time according to the guidelines outlined by the Dissertation Office. If a dissertation author needs to renew an embargo at the end of its term or initiate an embargo after graduation, the author must contact the Dissertation Office with the embargo request.

The complete University of Chicago Student Manual may be found at http://studentmanual.uchicago.edu/
First Year M.A. Examinations Requirement

First year PhD students are required to take a total of five sociology courses designated as “exam courses” among their nine first-year graded courses. Incoming students will be provided with a chart that lists the designated exam courses for the upcoming year. The courses are divided into ten topic areas. Students will be required to take SOCI 30003 History of Social Theory as one of their exam courses. For the remaining four courses, they will be asked to select ONE course from four additional subject areas. Students will not be allowed to count multiple courses from the same subject area or to substitute in courses that are not on the list of designated exam courses for their cohort year.

The first year schedule of courses:

<table>
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<tr>
<th>Autumn</th>
<th>Winter</th>
<th>Spring</th>
</tr>
</thead>
<tbody>
<tr>
<td>Exam 1</td>
<td>Stats 1</td>
<td>Stats 2</td>
</tr>
<tr>
<td>Principles</td>
<td>Exam 3</td>
<td>Exam 4 (or Elective)</td>
</tr>
<tr>
<td>Exam 2</td>
<td>Exam 4 (or Elective)</td>
<td>Exam5</td>
</tr>
</tbody>
</table>

Designating Exam Courses: The Director of Graduate Studies will be responsible for creating the chart of designated exam courses each year. An exam course must meet the following criteria: 1) be taught by a sociology faculty member or faculty associate (cross-listed in SOCI); 2) provide a substantial introduction to debates and developments within a general field of sociological inquiry; 3) provide an exam option for first-year PhD students that tests their general knowledge of the field of the course. The structure of the exam is up to the instructor. Scoring of the M.A. exam is to be on a 1-100 scale.

M.A. examination scores will be collected and recorded throughout the first year by the Graduate Studies Administrator as part of the student’s departmental record and will be included with each student’s M.A. petition (see below).

Petitioning for the M.A. Degree

After completing the nine required courses of the first year with a quality grade of B- or higher, students will submit a petition for an M.A. degree. The M.A. petition will be due no later than September 15th before the start of their second year. In the first faculty meeting of the autumn quarter, the M.A. petitions will be discussed and voted upon. Students who have incompletes or no grade recorded for any of their nine first-year courses will be placed on academic probation and will not be eligible to submit the M.A. petition.

For students who are unable to meet the September 15th deadline, there will be a second and final M.A. petition deadline of January 5th of their second year. In the first faculty meeting of winter quarter, second-round M.A. petitions will be discussed and voted upon. Students who are unable to meet this deadline due to incompletes or no recorded grades from their first year will not be permitted to move on to the advanced program requirements and will be counseled out.
STUDENT HANDBOOK – Appendix B

Qualifying Paper

1. Excerpts from Student Handbook

The qualifying paper should represent an original piece of scholarship or theoretical analysis and must be written in a format appropriate for submission to a professional publication. Note that the requirement is “publishable,” not “published,” although many recent papers have been presented at professional conferences and eventually published. The paper is prepared under the direct supervision and approval of a faculty member and may be written or revised in connection with one or more regular courses. Qualifying papers are single authored. Students entering with M.A. papers may petition to submit a supervised revision to meet the qualifying paper requirement.

By Friday of week 10 of Spring Quarter Year 2, students must submit the final draft of their qualifying paper to the Graduate Administrator. The expectations for a final draft are such: the paper has undergone prior revision based on feedback from the required “2nd Year Writing Seminar” course, from a workshop or conference presentation, and/or from the faculty reader and other faculty in the department.

Following the model of a journal submission, the final draft sent to the Graduate Administrator must be accompanied by a one-page cover letter, addressed to the faculty reader, that gives a short overview of the paper and a detailed plan for which journal the student plans to submit the paper in Year 3. Students should identify the accepted word count at the selected journal and edit their paper accordingly. Students who miss the above deadline will be placed on academic probation.

The faculty reader is expected to return the results to the Graduate Administrator within one month of the due date. The results can be: accept without revision, conditionally accept with minor revisions, revise and resubmit (major revisions), or fail. Conditional accepts and revise and resubmits will be accompanied by feedback for revision. A failing grade will result in a terminal MA and withdrawal from the program.

Any requested revisions to the qualifying paper must be submitted to the Graduate Administrator by October 1 Year 3. Students must include a one-page cover letter that details the changes they have made to the paper. Students who miss this October 1 deadline will be placed on academic probation.

2. Some Suggestions for Preparing the Paper

Publishable papers take a number of forms. Some of these can be listed:

1. presentation and analysis of quantitative data
2. review of literature leading to an argument for theoretical advance
3. ethnographic analysis of a particular case or cases
4. comparative historical analysis of a particular case
5. proposal and defense of a methodological advance

The best way to find reasonable forms is to read recent journals such as the American Journal of Sociology, the American Sociological Review, Social Forces, and the like. Typically a publishable paper in such journals consists of 35 to 50 manuscript pages of material, including text, tables, notes, and references. Note that a pure review paper is not acceptable as an qualifying paper.

All good papers involve a puzzle and a solution. It is wise to begin discussions with a faculty member as soon as you have begun to identify the puzzle about which you wish to write. Identify important references early and read them. Prepare an extended proposal for the paper and present it to your advisor for suggestions.
3. Format for Abstract

A format for the abstract of the qualifying paper is given below. It includes the following information:

- Title of paper
- Student's name
- Faculty advisor's name
- Quarter in which the qualifying paper is submitted

ABSTRACT OF QUALIFYING PAPER

[Title of Paper]

by [student's name]

Advisor: [Faculty Advisor] [Quarter/Year]

[text of abstract]
THE UNIVERSITY OF CHICAGO

TITLE OF PAPER

A QUALIFYING PAPER SUBMITTED TO
THE FACULTY OF THE DEPARTMENT OF SOCIOLOGY
TOWARD THE FULFILLMENT OF
DOCTORAL PROGRAM REQUIREMENTS

BY

NAME OF STUDENT

FACULTY ADVISOR: NAME

CHICAGO, ILLINOIS

QUARTER, YEAR
5. Sample cover letter

[Your Name]
[Your Affiliation]
[Your Address]

[Date]

Dear [Faculty Reader name],

I am writing to submit my qualifying paper entitled “[title of article]” for your review. In this paper, I report on / show that ________. This is significant because __________.

I believe that this manuscript is appropriate for publication by [journal name] because it… [specific reference to the journal’s Aims & Scope]. __________.

[Please explain in your own words the significance and novelty of the work, the problem that is being addressed, and why the manuscript belongs in this journal. Do not simply insert your abstract into your cover letter! Briefly describe the research you are reporting in your paper, why it is important, and why you think the readership of the journal would be interested in it.]

[Detail the word limit for the journal and how your paper fits with these requirements].

Please address all correspondence concerning this paper to me at [email address].

Thank you for your consideration of this manuscript.

Sincerely,

[Your name]
1. Excerpts from Student Handbook

Ph.D. students are required to demonstrate competence in two special fields. The Special Field Requirement is to be met during the third year of graduate study. Failure to complete both special field exams by Friday of week 10 of Spring Quarter Year 3 will result in students being placed on academic probation. There may be exceptions for students who are unable to complete a required methods course for the special field sequence – though this must be resolved by Friday of week 10 of Autumn Quarter Year 4. Students must complete the M.A. Examinations requirement before meeting the Special Field Requirement.

Results for Special Fields can be Honors, Pass, Conditional Pass, Fail. A Conditional Pass on a Special Field must be made up before the end of the quarter following the quarter in which the examination was taken. No student may complete a second exam or review essay until they have successfully passed the first one.

This requirement may be met in three ways: by examination, with a review essay, or through a specified sequence of methods courses.

A. Exam or review essay. Both the examination and review essay options are prepared on an individual basis in the fields of sociology in which the student wishes to develop research competence; one should be related to the subject of the subsequent Ph.D. dissertation. Each exam/review essay special field must be overseen by two faculty readers of the student’s choice (see “Procedures” in Appendix C). The examinations cover both theoretical and substantive materials as well as the methods required for effective research. Preparation takes the form of specialized courses and seminars, supplemented by independent study and reading. For either the exam or essay, the student must first construct a bibliography to be approved by both faculty readers; readers must also agree in advance to either the examination or review essay format.

Examples of previously approved bibliographies are kept on file in the department office and can be made available to other students upon request. In recent years, the fields most commonly taken are urban sociology, organizational analysis, sociology of gender, sociology of education, culture/STS/knowledge, sociology of health and medicine, economic sociology, political sociology, stratification, social movements/change, and sociology of religion.

B. Sequence of Courses. One of the two special field requirements may be met with a sequence of methodology courses. Three types of special fields in methodology are recognized: (1) social statistics, (2) survey research methods, and (3) qualitative methods.

One of the two Special Field Requirements may be taken in a related field outside of sociology.

2. Procedures for Exam / Review Essay Special Fields

A. The special field requirement may be met by either a one-week take-home examination scheduled by the individual special field committee or by a review essay comparable to those published in the Annual Review of Sociology. Review essays should not simply summarize but should be analytical, critical, and synthetic.

B. The student is expected to prepare for the special field by participation in advanced seminars and intensive individual study. The Department recommends both of these avenues of preparation. To set up a special field:

1) Select a committee of two faculty members and get their approval of the special field area and the format (exam or review essay). Prepare a plan of study; this should include courses and seminar work in the area and a bibliography of items to be read through individual study. As a guideline, the Annual Review of Sociology expects a bibliography of approximately 100 items, which is included in the total
essay length of 10-12,000 words. Bibliographies compiled by other students are available through the Department Office. The plan should be approved by the special field committee and will be a guide for preparation of the examination or essay. (The chairman of the special field committee must be a member of the sociology faculty. If the field involves cross-disciplinary study, the second member of the committee may be a faculty member from another department in the University.) In no case should a faculty member serve on both of a student’s special field committees.

2) **Register with the Department office** for a special field in this area. The registration form for either the exam or review essay can be obtained from the administrator for student affairs. If the special field is to be met with a take-home exam, the form must be submitted at least two full weeks before the date on which the examination is to be taken; attach a copy of the bibliography that has been approved by your committee. For review essays, students must submit an approved bibliography and special field registration form prior to completing the essay.

3) Exam/Essay content is at the discretion of the examining faculty, but by department policy every special field, whether exam or essay, should cover the following topics:
   a. Recently published journal articles and books. The candidate is expected to be up to date at the time of the examination.
   b. The most important controversies, issues, and problems – in theory, empirical findings, and research methods – that exist in the field.
   c. Evaluation of existing theory and research methodology with suggestions of new lines of work.

3. **Procedures for Special Fields via Approved Sequences of Methods Courses**

Most students (around 70%) in the program satisfy one of the special field requirements via a sequence of courses guaranteeing mastery of some particular area of methodology. These change dynamically with the courses offered by faculty, and so are updated from time to time. Also, there is an increasing tendency across all social science departments to recognize methodology courses of other departments. However, the Sociology Department prefers to provide its own methodology training, and so it has created a number of actual sequences for methodology special fields. These are discussed here.

Ordinarily, methodology special fields must follow one of the lists below. Sometimes because of course availability, it may be necessary to substitute one course. All substitutions must be cleared in advance with the chair of the Methods Committee. In general, a student should expect to count only one special-petition course not in the following lists. That a special-petition course has counted in the past does not guarantee that it will be counted again. Syllabuses change, and since the special field aims to guarantee a broad command of methods, a petitioned course must further that end as it is currently taught. Once a student has completed enough courses to fulfill one of the following sequences and grades are posted, the student must file a petition to count these courses as a sequence fulfilling the special field requirement.

Three sequences of courses listed below have been approved as meeting the requirements of a special field in methods and models. Students must have a grade of B or better in every course used for the field in order to pass the special field. Courses taken on a Pass/Fail or R (registered) basis do not count toward the special field. Courses taken outside the University of Chicago (for example, as part of a prior master’s program) may be counted under special circumstances, but no more than two of them in any case. Under some circumstances, students may petition to have one related teaching assistantship count toward the total required courses. See the department’s graduate studies administrator for details. Note that the department’s two required statistical courses (or the two more advanced courses taken as substitutes for them by students who can bypass them) cannot count toward any of the methodology special fields, including the special field in social statistics.
A. **Special Field No. 1 (Social Statistics)**. Any five of the following:

- SOCI 30112  Application of Hierarchical Linear Models
- SOCI 30315  Introduction to Causal Inference
- SOCI 40109  Loglinear Analysis
- SOCI 40101  Basic Demographic Analysis
- SOCI 40103  Event History Analysis
- SOCI 40199  Applied Regression
- SOCI 40202  Advanced Topics in Causal Inference
- SOCI 40204  Categorical Data Analysis

The following courses in other departments have in the past sometimes been counted by petition:

- ECON 31100  Empirical Methods 2 (PQ basic probability theory)
- ECON 31200  Empirical Methods 3 (econometric methods for demog. phenomena)
- GSBC 41202  Analysis of Financial Time Series
- GSBC 41910/STAT 33500  Time-series Analysis for Forecasting & Model Building
- PBHS/HSTD 31001  Epidemiologic Methods
- PLSC 43100  Maximum Likelihood
- STAT 22400  Applied Regression Analysis
- STAT 22600  Analysis of Categorical Data
- STAT 31200  Introduction to Stochastic Processes
- STAT 32900/BUSF 41912  Applied Multivariate Analysis
- STAT 34000  Gaussian Processes
- STAT 34300  Applied Linear Statistical Methods
- STAT 34700  Generalized Linear Models
- STAT 35600, PBHS/HSTD 33100  Applied Survival Analysis
- STAT 36900, PBHS//HSTD 33300  Applied Longitudinal Data Analysis

**NOTE:**
If students obtain a Master's degree in Statistics at the University of Chicago during their Ph.D. program in sociology, they should consult with the chair of the Methods Committee about the courses required for the Special Field No. 1. While pure statistics courses may offer a deep foundation for sociological methods, the Special Field also envisions a mastery of practical application.

B. **Special Field No. 2 (Survey Research Methods)**. The special field in survey research methods consists of completing five courses from the following list, two from Group 1 and three from Group 2:

**Group 1**

- SOCI 30118  Survey Research Overview
- SOCI 40164  Involved Interviewing
- PPHE 41800  Survey Questionnaire Design
- STAT 33100  Sample Surveys

**Group 2**

- SOCI 30318  Introduction to Causal Inference
- SOCI 40101  Basic Demographic Analysis
- SOCI 40109  Loglinear Analysis
- SOCI 40103  Event History Analysis 1
- SOCI 40188  Advanced Methods in Survey Research
### C. Special Field No. 3 (Qualitative Methods)

The special field in qualitative methods consists of at least five courses in the following list:

<table>
<thead>
<tr>
<th>Course Code</th>
<th>Course Title</th>
</tr>
</thead>
<tbody>
<tr>
<td>SOCI 30118</td>
<td>Survey Research Overview</td>
</tr>
<tr>
<td>SOCI 40112</td>
<td>Ethnographic Methods</td>
</tr>
<tr>
<td>SOCI 40133</td>
<td>Content Analysis</td>
</tr>
<tr>
<td>SOCI 40142</td>
<td>Library Methods for the Social Sciences</td>
</tr>
<tr>
<td>SOCI 40157</td>
<td>Hermeneutic Sociology II</td>
</tr>
<tr>
<td>SOCI 40164</td>
<td>Involved Interviewing</td>
</tr>
<tr>
<td>SOCI 40174</td>
<td>Researching Gender and Sexuality</td>
</tr>
<tr>
<td>SOCI 40177</td>
<td>Coding and Analyzing Qualitative Data</td>
</tr>
<tr>
<td>SOCI 40198</td>
<td>Economy and Ethnography</td>
</tr>
<tr>
<td>SOCI 50096/PLSC 57200</td>
<td>Network Analysis</td>
</tr>
<tr>
<td>SOCI 50121</td>
<td>Seminar: Ethnography-2</td>
</tr>
<tr>
<td>GEOG 38201</td>
<td>Introduction to GIS</td>
</tr>
<tr>
<td>GEOG 38400</td>
<td>Intermediate GIS/Cartography</td>
</tr>
<tr>
<td>PLSC 50900</td>
<td>Comparative Case Study Method</td>
</tr>
</tbody>
</table>

The following courses in other departments have in the past sometimes been counted by petition:

<table>
<thead>
<tr>
<th>Course Code</th>
<th>Course Title</th>
</tr>
</thead>
<tbody>
<tr>
<td>AASR 36000</td>
<td>Fieldwork Methodology</td>
</tr>
<tr>
<td>ECON 42100</td>
<td>Intro: Empirical Microeconomic Research Methods</td>
</tr>
<tr>
<td>CHDV 39300</td>
<td>Qualitative Methods in the Social Sciences</td>
</tr>
<tr>
<td>CHDV 42214</td>
<td>Ethnographic Writing</td>
</tr>
<tr>
<td>MUSI 33800</td>
<td>Ethnographic Methods</td>
</tr>
<tr>
<td>PLSC 33300</td>
<td>Interpretive Methods in the Social Sciences</td>
</tr>
<tr>
<td>PLSC 43509</td>
<td>Conceptualizing/Measuring Ideology</td>
</tr>
<tr>
<td>PLSC 43700</td>
<td>Comparative Historical Analysis</td>
</tr>
<tr>
<td>PLSC 437115</td>
<td>Readings in Comparative Historical Analysis</td>
</tr>
<tr>
<td>SSAD 54300</td>
<td>Qualitative Research Methods</td>
</tr>
</tbody>
</table>
STUDENT HANDBOOK – Appendix D

Ph.D. Dissertation Proposal

The Ph.D. dissertation is judged by its contribution to sociological knowledge and the evidence that it shows of a candidate’s ability to carry out independent research. Students are strongly encouraged to consult with their dissertation advisor on the timing and expectations for a proposal defense, as expectations vary based on methods. For some projects, a proposal defense comes after some preliminary data collection. For other projects, a proposal defense occurs prior to the start of research. Taking this variation into account, the department has set the hard deadline for advancing to candidacy by end of Autumn Quarter in Year 5 – though we strongly encourage students to be ABD by the end of Spring Quarter in Year 4. Students who do not meet the Autumn Year 5 deadline will be placed on academic probation.

All dissertation proposals should include a timeline that marks deadlines for collecting and analyzing data, writing up results, going on the job market, and graduating. As a reminder, no graduation date can be later than summer Year 7. Student should also include a budget and plan for funding their research (if appropriate). Students may choose to use the National Science Foundation Doctoral Dissertation Improvement Fellowship – a research grant that is open to all students regardless of citizenship status – as a possible model for a dissertation proposal.

Normally, a Ph.D. thesis committee consists of a chair plus minimally two but up to four additional faculty members. At least two members of the dissertation committee, including the committee chair, must be voting members of the Sociology Department at the time that the proposal is defended. In cases where the chair retires or leaves the University, a co-chair must be designated from the current department faculty. Students may include faculty from other departments and schools on their thesis committee where they have special competence and relevant interests.

The proposal is subject to review by the faculty committee to determine whether the project is feasible and to assist the development of the research.

Early in the development of a Ph.D. thesis, a hearing of at least one hour is held with the student and the members of the committee, plus any additional interested faculty. At this hearing, the student's proposal is accepted if it meets Department standards. Graduate students are given assistance in modifying, focusing, or expanding their research plans. It is essential that the student pass all other requirements before the Ph.D. proposal hearing is held. It is also expected that the dissertation committee will approve the proposal before the hearing is scheduled.

The student should distribute copies of the proposal to committee members. The proposal should also be submitted to the Department office in electronic format plus one printed copy at least ten days, preferably two weeks, before the hearing. The cover page should follow the format available in the Department office and specifically should include a list of the members of the dissertation committee. The printed copy of the proposal is kept on file in the department; the electronic copy is available upon request to other interested sociology faculty.

Yearly All-Committee Meeting for ABD students

Students who have advanced to candidacy are required to hold an all-committee meeting by the one year anniversary of their proposal defense for the duration of their time in the program. The all-committee meeting is a chance for students to update faculty on research and writing progress and to address any issues that may have arisen. Students should also discuss their publication progress and their plans for the job market. Students who have scheduled a dissertation defense do not need to schedule an additional all-committee meeting.
Prior to the meeting, students should circulate to their committee members: a current CV, a summary of research progress to date, a chapter draft or conference paper from the dissertation research, and an up-to-date timeline for completion.

**Students who fail to meet deadlines for program requirements will be put on academic probation.**

**Some Suggestions for Preparing the Proposal**

a) State the general idea, describing it in outline form to give an overall picture of the nature and scope of the research. This should not be more than about 200 words.

b) Describe briefly what has been done by previous investigators in the field which is closely relevant to your proposal. The purpose of this section is to explain to the reader just how your work will add to or improve the existing literature. Normally, one should cite several specific studies, but not a large number.

c) Expound briefly the theoretical ideas being used, and the abstract nature of the process being studied. Indicate the relations between this specific study and broader issues in sociology. Mention specific hypotheses to be examined, or questions to be answered by the research.

d) Indicate the type of data to be used and their availability. Some reference should be made to the possibility of alternate forms or sources of data, and to the completeness and reliability of the data being used.

e) Describe the analytical organization and the specific techniques to be employed. For any unorthodox or dubious aspects, indicate briefly what your operating assumptions are. When possible explain why the techniques being used were adopted as compared with some alternative ones.

f) Present an outline, even if only rough or approximate, of the finished dissertation.

g) Make generous use of section headings and subheadings to improve readability of the proposal.

h) The typical proposal should run about 15-20 pages. There is no need to report specific results or detail of preliminary runs in the proposal, however, one or two tables can often be used advantageously. The bibliography for the proposal should be brief and illustrative only.

i) The cover page should include the type of information included on the attached sample: (1) Ph.D. proposal; (2) student’s name; (3) title of dissertation; (4) committee members; (5) quarter and year. A format for the cover page of the proposal is given on the next page.
University of Chicago

Department of Sociology

TITLE IN ALL CAPITAL LETTERS

by

Student Name

Ph.D. Dissertation Proposal

Quarter Year

Committee:

Faculty Name (Chair)
Faculty Name
Faculty Name
<table>
<thead>
<tr>
<th>Quarter → Year</th>
<th>Autumn</th>
<th>Winter</th>
<th>Spring</th>
<th>Tasks/Summer</th>
</tr>
</thead>
<tbody>
<tr>
<td>1</td>
<td>Exam Course 1 <em>Principles of Social Research</em>&lt;br&gt;Exam Course 2</td>
<td>Stats 1&lt;br&gt;Exam Course 3&lt;br&gt;Exam Course 4 or Elective</td>
<td>Stats 2&lt;br&gt;Exam Course 4&lt;br&gt;or Elective *History of Social Theory as Exam Course 5</td>
<td>*9 courses/MA exam courses completed in first year&lt;br&gt;*Research for QP in the summer&lt;br&gt;*Submit petition for MA degree by Sept. 15th</td>
</tr>
<tr>
<td>2</td>
<td>Elective&lt;br&gt;Elective (Workshop)</td>
<td>Writing Seminar&lt;br&gt;Elective</td>
<td>Writing Seminar&lt;br&gt;Elective <em>SUBMIT QUALIFYING PAPER</em></td>
<td>*5 (min) - 9 courses completed in 2nd year&lt;br&gt;*Qualifying paper revisions by Oct 1&lt;br&gt;*Reading for Special Field</td>
</tr>
<tr>
<td>3</td>
<td>Dissertation Proposal Course (Workshop)&lt;br&gt;<em>COMPLETE 1ST SPECIAL FIELD</em></td>
<td>Writing Sem II&lt;br&gt;Elective</td>
<td>Writing Sem II&lt;br&gt;Elective <em>BOTH SPECIAL FIELDS APPROVED&lt;br&gt;3 (of required 4) MTEs COMPLETED</em></td>
<td>*Finish course work&lt;br&gt;*Preliminary Dissertation Research</td>
</tr>
<tr>
<td>4</td>
<td>Research</td>
<td>Research</td>
<td>SUGGESTED DEADLINE FOR PROPOSAL HEARING</td>
<td>*Conduct research</td>
</tr>
<tr>
<td>5</td>
<td>Research <em>HARD DEADLINE FOR PROPOSAL HEARING</em></td>
<td>Research</td>
<td>Research</td>
<td>*Research</td>
</tr>
<tr>
<td>6</td>
<td>Job Market/ Writing</td>
<td>Writing</td>
<td>Writing</td>
<td>*Writing</td>
</tr>
<tr>
<td>7</td>
<td>Writing</td>
<td>Writing</td>
<td>Writing</td>
<td><em>HARD DEADLINE FOR DISSERTATION DEFENSE</em></td>
</tr>
</tbody>
</table>

March 2020