

THE UNIVERSITY OF CHICAGO

DEPARTMENT OF SOCIOLOGY

1126 EAST 59TH STREET

CHICAGO • ILLINOIS 60637

(July 2010)

STUDENT HANDBOOK

REQUIREMENTS AND PROCEDURES FOR M.A. AND Ph.D. DEGREES IN SOCIOLOGY

Degree Programs

The Department offers a program for the degrees of Master of Arts and Doctor of Philosophy in Sociology and cooperates with the College in providing undergraduate education leading to the Bachelor of Arts degree.

A student entering the program with a B.A. degree can in principle complete the Ph.D. degree in four academic years of study, though more typically the degree takes six to eight years. Satisfactory completion of the first phase of the Ph.D. program is signified by the awarding of an M.A. degree.

Admissions and Fellowships

All applicants for admission are required to submit general Graduate Record Examination scores. Applicants who are not U.S. citizens or U.S. permanent residents must take the Test of English as a Foreign Language (TOEFL) or the test administered by the International English Language Testing System (IELTS). The Department of Sociology encourages graduate study by students who have done their undergraduate work in other social sciences, mathematics and other fields. The Department also encourages students who have had work experience, governmental or military service, or community and business experiences to apply.

Application must be made through the University's Online Application for Graduate Studies, beginning in early September for the following academic year. All applicants who indicate on the graduate application that they would like to be considered for financial aid will be reviewed for merit-based scholarships and fellowships; there are no separate forms to complete. The Division of the Social Sciences has limited resources, which are allocated based on the criteria of academic record and scholarly promise; financial need and United States citizenship are not factors. Applicants to our program are considered for all fellowship resources at the University of Chicago for which they are eligible, including diversity fellowships, Title VI Foreign Language and Area Studies Fellowships, and fellowships offered through a grant from the Institute of Education Sciences, as well as Divisional fellowships. Some graduate students also receive fellowship support from outside sources.

The deadline for application is December 10.

REQUIREMENTS FOR M.A. AND Ph.D. DEGREES

Overview of General Requirements

- 1. Number of Courses Required**
- 2. Core Courses**
- 3. Statistics Requirement**
- 4. Grade Requirement**
- 5. Preliminary Examination**
- 6. Convocation for the M.A. Degree**
- 7. Qualifying Paper**
- 8. Special Field Requirement**
- 9. Ph.D. Dissertation Proposal Hearing**
- 10. Admission to Candidacy for the Ph.D.**
- 11. Teaching Requirement**
- 12. Final Defense of the Dissertation**

1. Number of Courses Required

Students matriculating at Chicago without a prior M.A. degree must pass eighteen courses, exclusive of language instruction, at the level of B- or higher for the Ph.D. Nine of these must be passed at the B- or higher level for the M.A. These students are expected to complete nine courses in the first year, at least six courses in the second year, and a total of eighteen by the end of the third year.

Students matriculating at Chicago who already have a master's degree. (in sociology or another field) from another institution or who have a master's degree from Chicago in a field other than sociology must pass fifteen courses, exclusive of language instruction, at the level of B- or higher for the Ph.D. degree. These students are expected to complete nine courses in the first year and six courses in the second year.

2. Core Courses

Entering students are required to take SOCI 30001 (Sociological Inquiry 1) and SOCI 30003 (History of Social Theory) during their first year of residence. SOCI 30002 (Sociological Inquiry 2) is also required and is scheduled for the second year in conjunction with the qualifying paper requirement (see section 7, below).

3. Statistics Requirement

For the Ph.D., all students are required to complete for credit two quarters of coursework in statistical methods. Students whose background in statistics is at or below that offered at the introductory course level are required to take SOCI 30004 and 30005 (Statistical Methods 1 and 2), or in any year that SOCI 30004 is not offered, STAT 20000 (Elementary Statistics) and SOCI 30005, during their first year of study. Those who have some knowledge of calculus may take either a sequence of STAT 22000 (Statistical Methods & Their Applications) and SOCI 30005 or a sequence of STAT 24400 and 24500 (Statistical Theory and Methods 1 & 2) as a standard substitute sequence. Those who have successfully completed coursework elsewhere at or above the level of SOCI 30004 and 30005 may fulfill the requirement by taking at least two 40000-level courses covering advanced statistical techniques and/or applications.

4. Grade Requirement

In order to be admitted to the second year of study and to take the Preliminary Examination, a student must have letter grades posted in at least seven courses (P's, I's, R's are not counted) and must have a **B** average or better on the best seven grades.

5. Preliminary Examination

The Preliminary Examination requires students to demonstrate competence in several sub-disciplines of sociology. The examination is administered twice during each academic year – at the beginning of the Autumn quarter and again in the Winter quarter. The examination consists of two sessions. In each session, writing without reference to notes or books, students respond to three questions they select from a maximum of six alternatives. Foreign students who do not hold a degree from a college or university where English is the primary language of instruction are allowed additional time to complete the examination, but this may not exceed one hour beyond the regular deadline for each session.

Normally, students are expected to take this examination at the beginning of the Autumn quarter of their second year in residence. Students who do not meet the first-year grade requirement (at least seven letter grades and a B average for the highest seven) will not be permitted to take the examination. However, if there are extenuating circumstances, the student may petition the Department to be allowed to take the examination. Foreign students who do not hold a degree from a college or university where English is the primary language of instruction and students who enter without undergraduate work in sociology may petition to defer the examination until the Winter quarter of their second year. Except under extraordinary circumstances, no student will be allowed to take the Preliminary Examination more than twice. Additional information concerning this examination is given in Appendix A.

6. Convocation for the M.A. Degree

The masters degree is awarded for completion of nine courses (including the required first-year courses) at the level of B- or higher and passing the preliminary examination at the masters level or above. The student should register on-line for the degree via the Registrar's cMore website *before the beginning of the quarter in which the degree will be awarded* and inform the Department's administrator for student affairs. Department certification that the student is eligible to take the degree must be given to the Office of the Dean of Students three and a half weeks before convocation. It is the responsibility of the student to make certain all requirements for the receipt of the degree have been fulfilled on time. If all requirements are not met by that date, the student is automatically dropped from the convocation list.

7. Qualifying Paper

A requirement for the Ph.D. is the qualifying paper. This paper should represent an *original* piece of scholarship or theoretical analysis and must be written in a format appropriate for submission to a professional publication. Note that the requirement is "publishable," not "published," although many recent papers have been presented at professional conferences and eventually published.

The paper should be prepared under the direct supervision and approval of a faculty member and may be written or revised in connection with one or more regular courses. Students entering with M.A. papers may petition to submit a supervised revision to meet the qualifying paper requirement.

Once the paper is approved by a faculty member, the student is responsible for presenting to the Departmental office an electronic copy of the paper and an approved abstract of the paper. The paper remains on file in the Departmental office.

Students should formulate a proposal for the paper by the time of the progress review in spring of their second year. The qualifying paper is to be completed before the end of the third year of study. If a student does not complete the qualifying paper by that time, the Department will formally assess his/her ability to make satisfactory progress in the program. Subsequent actions will range from focused intervention to counseling the student to withdraw.

8. Special Field Requirement

Ph.D. students are required to demonstrate competence in two special fields. The Special Field Requirement is to be met during the third and fourth years of graduate study. Students must pass the

Preliminary Examination at the Ph.D. level before meeting the Special Field Requirement. A "conditional pass" on a Special Field must be made up before the end of the quarter following the quarter in which the examination was taken. No student may complete a second exam or review essay until s/he has successfully passed the first one.

This requirement may be met in three ways: by examination, with a review essay, or through a specified sequence of methods courses. Both the examination and review essay options are prepared on an individual basis in the fields of sociology in which the student wishes to develop research competence; one should be related to the subject of the subsequent Ph.D. dissertation. The examinations cover both theoretical and substantive materials as well as the methods required for effective research. Preparation takes the form of specialized courses and seminars, supplemented by independent study and reading. For either an exam or essay, the student must first construct a bibliography to be approved by both faculty readers; readers must also agree in advance to either the examination or review essay format. Approved bibliographies will be kept on file in the department office and made available to other students upon request. The fields most commonly taken are demography; economic and work institutions; culture; educational institutions; family and socialization; formal organization; methodology; modernization; political organization; race and ethnic relations; social change and social movements; social stratification; and urban sociology.

One of the two special field requirements may be met with a sequence of courses. Five types of special fields in methodology are recognized: (1) social statistics, (2) survey research methods, (3) qualitative methods (4) methodology for social organization research, and (5) mathematical sociology.

One of the two Special Field Requirements may be taken in a related field outside of sociology. Additional information concerning the Special Field Examination is given in Appendix C.

9. Ph.D. Dissertation Proposal Hearing

The Ph.D. dissertation is judged by its contribution to sociological knowledge and the evidence that it shows of a candidate's ability to carry out independent research. The student prepares a research proposal for the dissertation under the guidance of a special faculty committee. The dissertation proposal hearing is to take place no later than the end of Spring Quarter of the 5th year.

Normally, a Ph.D. thesis committee consists of a chairman plus two or three additional faculty members. The chairman of the committee must be a member of the Department of Sociology; in cases where the chair retires or leaves the University, a co-chair must be designated from the current department faculty. Students are encouraged to include faculty from other departments and schools on their thesis committee where they have special competence and relevant interests. It is assumed that in the case of theses which draw heavily from a particular research program, at least one member of the committee will not be affiliated with that program.

The proposal is subject to review by the faculty committee to determine whether the project is feasible and to assist the development of the research. Guidelines for preparation of the proposal are given in Appendix D.

Early in the development of a Ph.D. thesis, a hearing of at least one hour is held with the student and the members of the committee, plus any additional interested faculty. At this hearing, the student's proposal is accepted if it meets Department standards. Graduate students are given assistance in modifying, focusing, or expanding their research plans. It is essential that the student pass all other requirements before the Ph.D. proposal hearing is held. It is also expected that the dissertation committee will approve the proposal before the hearing is scheduled.

The student should distribute copies of the proposal to committee members. The proposal should also be submitted to the Department office in electronic format plus one printed copy at least ten days, preferably two weeks, before the hearing. The cover page should follow the format available in the Department office and specifically should include a list of the members of the dissertation committee. The printed

copy of the proposal is kept on file in the department; the electronic copy is available upon request to other interested sociology faculty.

Additional information on the proposal hearing is given in Appendix D.

10. Admission to Candidacy for the Ph.D.

Upon formal acceptance of the dissertation proposal and completion of the other requirements listed above, the Department recommends that the Division of the Social Sciences admit the student to candidacy for the Ph.D. degree.

If a student fails to meet the deadline for any of the above program requirements, the Department will formally assess his/her ability to make satisfactory progress in the program. Subsequent actions will range from focused intervention to counseling the student to withdraw.

11. Teaching Requirement

All University of Chicago doctoral students must complete the equivalent of five teaching assistant appointments, generally configured as two teaching assistantships in the third year, two teaching assistantships in the fourth year, and one teaching assistantship in the fifth year.

12. Final Defense of the Dissertation

The final Ph.D. oral examination is scheduled after the draft of the thesis has been approved by the committee chairman and other committee members. The student sets the date and time of the defense with the dissertation committee members and informs the Department's graduate studies administrator of the schedule; a room is then assigned by the Department for the defense. An abstract of no more than 350 words is to be submitted, along with the cover page and table of contents of the dissertation, to the graduate studies administrator by email attachment (MSWord or pdf document) no less than one full week before the defense. These items are included in an announcement of the defense to the department's faculty.

It is required that the dissertation committee chair and at least two additional committee members be present with the student for the defense unless there are special circumstances.

The Dissertation Office's website at <http://www.lib.uchicago.edu/e/phd/> provides information on deadlines for online submission of both the draft and final version of the dissertation as well as information on University-wide formatting requirements. The student should consult with the Department's graduate studies administrator regarding the process for departmental approval of the dissertation. The student is responsible for having the dissertation draft thoroughly copy-edited following acceptance by the dissertation committee and prior to submission to the Dissertation Office. The Convocation requirements are the same as those for the M.A. (see section 6, above).

Students are encouraged to submit a hard copy of the approved thesis, which will join our historic collection of departmental dissertations in SS 310. The document should be printed on dissertation-bond or other archival-quality paper. A check to cover the cost of the binding (payable to The University of Chicago) should be submitted at the same time as the printed dissertation. We cannot accept spiral-bound copies for this purpose. It is a traditional courtesy for a new Ph.D. to give a bound copy of his or her dissertation to each committee member.

REGISTRATION

Ph.D. students entering a Ph.D. program are registered in Scholastic Residence for each of their first four years of study, unless they earn the Ph.D. in fewer than four years. During each of those four years, students are required to be registered for at least three academic quarters: normally the Autumn, Winter and Spring Quarters. During the early years of Scholastic Residence, students are engaged primarily in course work; during the later years, that typically changes to a combination of course work, examinations and independent research and writing.

At the completion of four years of Scholastic Residence, the student enters the status of Advanced Residence and remains in this status (or in Pro Forma status, if approved, as described below) for a maximum of eight calendar years. During this period students are typically engaged in the conduct of a scholarly research project and in writing a dissertation based upon it. Students are expected to register in at least three of the four quarters of each year they are in Advanced Residence, typically in the Autumn, Winter and Spring quarters.

Pro Forma registration is a limited privilege designed to provide full-time status to doctoral students who must move away from the Chicago area, usually abroad but otherwise a substantial distance, in order to conduct the preparatory field research, archival research or data collection which their dissertations require. Students who are engaged in formal programs of intensive language instruction abroad, as needed for completing their doctoral programs, are also eligible to apply for Pro Forma status. All students other than those for whom the preparatory dissertation research requires a substantial geographic relocation are required to register in either Scholastic Residence or Advanced Residence, in accordance with the number of years of doctoral study completed. No student may be registered in Pro Forma status for more than the number of quarters of registration that are standard over two calendar years for the student's department (six quarters for Sociology).

[For a more detailed description of the residence system, please see the University's official statement, available from the office of the Dean of Students and online at www.uchicago.edu/docs/studentmanual/.]

STATEMENT ON CONDUCT

Students' attention is drawn to the [Student Manual of University Policies and Regulations](http://www.uchicago.edu/docs/studentmanual/) [www.uchicago.edu/docs/studentmanual]. The University expects of all students responsible social conduct reflecting credit upon themselves and upon the University. Such offenses as simple theft and violation of housing or library regulations will not be tolerated by the University. Students' attention is especially directed to the statement on non-discrimination and sexual harassment in the [Manual](#). Infractions will result in disciplinary action by the University which may lead to suspension or dismissal.

ACADEMIC HONESTY

As students and faculty of the University of Chicago, we belong to an academic community with high scholarly standards of which we are justly proud. Our community also holds certain fundamental ethical principles to which we are deeply committed. We believe it is contrary to justice, to academic integrity, and to the spirit of intellectual inquiry to submit the statements or ideas or work of others as one's own. To do so is plagiarism or cheating, offenses punishable under the University's disciplinary system. Because these offenses undercut the distinctive moral and intellectual character of the University, we take them very seriously and punishments for them may range up to permanent expulsion from the University.

Proper acknowledgment of another's ideas is expected, whether that use is by direct quotation or by paraphrase, however loose. In particular, if any written source is consulted and material is used from that source, directly or indirectly, the source should be identified by author, title, and page number at minimum. Any doubts about what constitutes "use" should be addressed to the instructor.

The complete University of Chicago Student Manual may be found at <http://www.uchicago.edu/docs/studentmanual/>

STUDENT HANDBOOK – Appendix A

The Preliminary Examination

1. Excerpts from Student Handbook

The Preliminary Examination requires students to demonstrate competence in several major sub-disciplines of sociology. The examination is administered twice during each academic year, at the beginning of the Autumn Quarter and again in the Winter Quarter. The examination consists of two sessions. In each session, writing without reference to notes or books, students respond to three questions or problems that they select from a maximum of six alternatives. Foreign students who do not hold a degree from a college or university where English is the primary language of instruction are allowed additional time to complete the examination, but this may not exceed one hour beyond the regular deadline for each session.

Normally, students are expected to take this examination at the beginning of the Autumn Quarter of their second year in residence. Students who do not meet the first-year grade requirement (seven letter grades and a B average for the highest seven) will not be permitted to take the examination. However, if there are extenuating circumstances, the student may petition the Department to be allowed to take the examination. Foreign students who do not hold a degree from a college or university where English is the primary language of instruction may petition to defer the examination until the Winter Quarter of their second year. Except under extraordinary circumstances, no student will be allowed to take the Preliminary Examination more than twice.

2. Preparation for the Examination

Students should master the works assigned in the courses History of Social Theory and Sociological Inquiry and those cited in the Selected Bibliography. Each year these bibliographies are revised. The bibliographies that apply to the Autumn Quarter examination also will apply to the examination in the following Winter Quarter.

No item on the examination will require detailed knowledge of works that are not included in these core bibliographies, although a student who demonstrates competence with a broader literature will naturally be rewarded accordingly. In general, rote memorization of texts will not be of great service to an examinee. Creative integration of works that are well understood receives the highest evaluations. Needless to say, the faculty are aware that under the time-pressure of the examination situation, students will only rarely write as clearly and incisively as they might in a less rigid setting.

Questions on the examination will not necessarily conform to the thematic organization of the core bibliographies. That treatment of the literature should be viewed as only one of many possibilities, and students will often be asked--in the examinations and elsewhere--to draw on works that are subsumed under several different headings in the reading lists in order to develop a line of argument.

Students are encouraged to peruse copies of previous years' examinations which are on file in the Departmental office.

3. Criteria and Procedures for Grading

Each essay is graded independently by at least two members of the faculty. The essays are identified only by number in order to avoid any possibility of personal bias, positive or negative, on the part of the graders. The faculty evaluate each examinee according to the following alternatives:

- a) Ph.D. pass with Honors.
- b) Ph.D pass.
- c) Conditional Ph.D. pass: If a student did passing work at the Ph.D. level on all but one or two questions, he may be asked to write essays in those weak areas or to take a particular course(s). If the student does not pass all questions at the Ph.D. level within the stipulated period, the grade on the examination becomes an M.A. pass with consequences as outlined in paragraph d) below.
- d) M.A. pass: If the student performed at less than the Ph.D. level on most or all of the examination questions, the faculty may award an M.A. pass and encourage the student to take the examination a second time. Having received an M.A. pass, a student should not begin work on the Qualifying Paper, Special Field Examinations or the Ph.D. dissertation until the Preliminary Examination has been passed at the Ph.D. level.
- e) Terminal M.A. pass: If a student's performance on the examination and in class work is inadequate, or if a student has taken the examination for the second time and still has not passed at the Ph.D. level, the faculty may award an M.A. pass, but deny the possibility for the student to re-register for the examination. This decision is subject to appeal by petition to the Chairman of the Department.
- f) Fail: This grade is given only on the rare occasion when a student's performance on the examination and on class work is grossly inferior. The grade constitutes denial of the possibility of re-registration in the Department; this decision of the faculty is also subject to appeal to the Chairman of the Department.

STUDENT HANDBOOK – Appendix B

Qualifying Paper

1. Excerpts from Student Handbook

A requirement for the Ph.D. is the qualifying paper. This paper should represent an *original* piece of scholarship or theoretical analysis and must be written in a format appropriate for submission to a professional publication. Note that the requirement is "publishable," not "published," although many recent M.A. papers have been presented at professional conferences and eventually published.

The paper should be prepared under the direct supervision and approval of a faculty member and may be written or revised in connection with one or more regular courses. Students entering with M.A. papers may petition to submit a supervised revision to meet the qualifying paper requirement.

Once the paper is approved by a faculty member, the student is responsible for presenting to the Departmental office an electronic copy of the paper and an approved abstract of the paper. The paper remains on file in the Departmental office.

Students should formulate a proposal for the paper by the time of the progress review in spring of their second year. The qualifying paper is to be completed before the end of the third year of study.

If a student fails to meet deadlines for program requirements, the Department will formally assess his/her ability to make satisfactory progress in the program. Subsequent actions will range from focused intervention to counseling the student to withdraw.

2. Some Suggestions for Preparing the Paper

Publishable papers take a number of forms. Some of these can be listed:

1. presentation and analysis of quantitative data
2. review of literature leading to an argument for theoretical advance
3. ethnographic analysis of a particular case or cases
4. comparative historical analysis of a particular case
5. proposal and defense of a methodological advance

The best way to find reasonable forms is to read recent journals such as the *American Journal of Sociology*, the *American Sociological Review*, *Social Forces*, and the like. Typically a publishable paper in such journals consists of 35 to 50 manuscript pages of material, including text, tables, notes, and references. Note that a pure review paper is not acceptable as an qualifying paper.

All good papers involve a puzzle and a solution. It is wise to begin discussions with a faculty member as soon as you have begun to identify the puzzle about which you wish to write. Identify important references early and read them. Prepare an extended proposal for the paper and present it to your advisor for suggestions.

3. Format for Abstract

A format for the abstract of the qualifying paper is given below. It includes the following information:

- Title of paper
- Student's name
- Faculty advisor's name
- Quarter in which the qualifying paper is submitted

ABSTRACT OF QUALIFYING PAPER

[Title of Paper]

by [student's name]

Advisor: [Faculty Advisor]

[Quarter/Year]

[text of abstract]

Format for Title Page of the Qualifying Paper

THE UNIVERSITY OF CHICAGO

TITLE OF PAPER

A QUALIFYING PAPER SUBMITTED TO
THE FACULTY OF THE DEPARTMENT OF SOCIOLOGY
TOWARD THE FULFILLMENT OF
DOCTORAL PROGRAM REQUIREMENTS

BY

NAME OF STUDENT

FACULTY ADVISOR: NAME

CHICAGO, ILLINOIS

QUARTER, YEAR

STUDENT HANDBOOK – Appendix C

Special Fields

1. Excerpts from Student Handbook

Ph.D. students are required to demonstrate competence in two special fields. The Special Field Requirement is to be met during the third and fourth years of graduate study. Students must pass the Preliminary Examination at the Ph.D. level before meeting the Special Field Requirement. A "conditional pass" on a Special Field must be made up before the end of the quarter following the quarter in which the examination was taken. No student may complete a second exam or review essay until he has successfully passed the first one.

This requirement may be met in three ways: by examination, with a review essay, or through a specified sequence of methods courses. Both the examination and review essay options are prepared on an individual basis in the fields of sociology in which the student wishes to develop research competence; one should be related to the subject of the subsequent Ph.D. dissertation. The examinations cover both theoretical and substantive materials as well as the methods required for effective research. Preparation takes the form of specialized courses and seminars, supplemented by independent study and reading. For either the exam or essay, the student must first construct a bibliography to be approved by both faculty readers; readers must also agree in advance to either the examination or review essay format. Approved bibliographies will be kept on file in the department office and made available to other students upon request. The fields most commonly taken are demography; economic and work institutions; culture; educational institutions; family and socialization; formal organization; methodology; modernization; political organization; race and ethnic relations; social change and social movements; social stratification; and urban sociology.

One of the two special field requirements may be met with a sequence of courses. Five types of special fields in methodology are recognized: (1) social statistics, (2) survey research methods, (3) qualitative methods (4) methodology for social organization research, and (5) mathematical sociology.

One of the two Special Field Requirements may be taken in a related field outside of sociology. Additional information concerning the Special Field Examination is given in Appendix C.

If a student fails to meet deadlines for program requirements, the Department will formally assess his/her ability to make satisfactory progress in the program. Subsequent actions will range from focused intervention to counseling the student to withdraw.

2. Procedures

- A. The special field requirement may be met by *either* a one-week take-home examination scheduled by the individual special field committee or a review essay comparable to those published in the *Annual Review of Sociology*. Review essays should not simply summarize but should be analytical, critical, and synthetic.
- B. The student is expected to prepare for the special field by participation in advanced seminars and intensive individual study under the guidance of faculty members. The Department recommends both of these avenues of preparation. To set up a special field:
 - 1) Select a committee of two faculty members and get their approval of the special field area and the format (exam or review essay). Prepare a plan of study; this should include courses and seminar work in the area and a bibliography of items to be read through individual study. As a guideline, the *Annual Review of Sociology* expects a bibliography of approximately 100 items, which is included in the total essay length of 10-12,000 words. Bibliographies compiled by other students are available through the Department Office. The plan should be approved by the special field committee and will be a guide for preparation of the examination or essay. (The chairman of the special field committee must be a member of the sociology faculty. If the field involves cross-disciplinary study, the second member of the

committee may be a faculty member from another department in the University.) In no case should a faculty member serve on both of a student's special field committees.

2) Register with the Department office for a special field in this area. The registration form for either the exam or review essay can be obtained from the administrator for student affairs. If the special field is to be met with a take-home exam, the form must be submitted at least two full weeks before the date on which the examination is to be taken; attach a copy of the bibliography that has been approved by your committee. For review essays, students must submit an approved bibliography and special field registration form prior to completing the essay.

3) The Department wishes to make it a matter of record that every candidate for the special field should expect to discuss the following in the special field by exam or review essay:

- a. Recently published journal articles and books. The candidate is expected to be up to date at the time of the examination.
- b. The most important controversies, issues, and problems – in theory, empirical findings, and research methods – that exist in the field.
- c. Evaluation of existing theory and research methodology with suggestions of new lines of work.

3. Approved Sequences of Methods Courses

Four sequences of courses listed below were approved in Spring Quarter 1997 as meeting the requirements of a special field in methods and models. Students must have a grade of B or better over the set of courses in order to pass the special field. Courses taken on a Pass/Fail or R (registered) basis do not count toward the special field. No more than two of these courses may have been taken outside the University of Chicago. Students must petition to have courses accepted to fulfill a special field requirement after grades are posted to the transcript. Students may petition to have one related teaching assistantship count toward the total required courses. See the department's graduate studies administrator for details.

A. Special Field No. 1 (Social Statistics). The special field in social statistics consists of one of the following pairs of courses as a prerequisite (any alternate course must be approved in advance by the chair of the Methods Committee chair):

SOCI 30004 / 30005
 STAT 20000 / SOCI 30005
 STAT 22000 / SOCI 30005
 STAT 24400 / 24500

PLUS **two** courses from this list:

SOCI 30111 Survey Analysis 1
 SOCI 30112 Application of Hierarchical Linear Models
 SOCI 30308 Applied Regression 1
 SOCI 40109 Loglinear Analysis
 SOCI 40103 Event History Analysis
 SOCI 40117 Study Design and Analysis

and **three** other courses from the following list:

SOCI 30111 Survey Analysis 1
 SOCI 30112 Application of Hierarchical Linear Models
 SOCI 30308 Applied Regression 1
 SOCI 30309 Applied Regression 2
 SOCI 40101 Basic Demographic Analysis
 SOCI 40109 Loglinear Analysis
 SOCI 40103 Event History Analysis 1

SOCI 40104	Event History Analysis 2 [PQ SOCIOL 40103]
ECON 31100	Empirical Methods 2 (PQ basic probability theory)
ECON 31200	Empirical Methods 3 (econometric methods for demog. phenomena)
GSBC 41202	Analysis of Financial Time Series
GSBC 41910/STAT 33500	Time-series Analysis for Forecasting & Model Building
HSTD 31001	Epidemiologic Methods
PLSC 30700	Introduction to Linear Models
PLSC 43500	Applied Bayesian Statistics
PLSC 43100	Maximum Likelihood
STAT 22400	Applied Regression Analysis
STAT 22600	Analysis of Qualitative Data
STAT 31200	Introduction to Stochastic Processes
STAT 32000	Bayesian Statistics
STAT 32900	Applied Multivariate Analysis (also listed as GSBC 42400)
STAT 34000	Applied Spatial Statistics
STAT 34300	Applied Linear Statistical Methods
STAT 34700	Generalized Linear Models
STAT35600/HSTD 33100	Introduction to Survival Analysis
STAT 36900/HSTD 33300	Longitudinal Data Analysis
STAT 39600	Nonparametric Statistics

NOTES:

1. If students obtain a Master's degree in statistics at the University of Chicago during their Ph.D. program in sociology, seven courses listed above and included in the requirements for that Master's degree may be counted (**by petition**) toward Special Field No. 1 (Social Statistics).
2. STAT 22000 has a variant for economics graduate students. It is recommended to take that variant rather than a standard course.

B. Special Field No. 2 (Survey Research Methods). The special field in survey research methods consists of completing five courses from the following list, two from Group 1 and three from Group 2:

Group 1

SOCI 30118	Survey Research Overview
SOCI 40119	Survey Research Practicum-1
SOCI 40120	Survey Research Practicum-2
PBPL 41800	Survey Questionnaire Design
PSYC 38600	Questionnaire Design
STAT 33100	Sample Surveys

Group 2

SOCI 30111	Survey Analysis 1
SOCI 30308	Applied Regression 1
SOCI 40101	Basic Demographic Analysis
SOCI 40109	Loglinear Analysis
SOCI 40103	Event History Analysis 1
SOCI 40125	Social Network Analysis
PBPL 31100	Statistical Methods for Policy Research
PBPL 42100	Applied Econometrics
STAT 24600	Statistical Theory/Methods III
STAT 36900/HSTD 33300	Longitudinal Data Analysis

C. Special Field No. 3 (Qualitative Methods). The special field in qualitative methods consists of at least two courses in the following list, and additional three courses that should be preapproved by the committee members (Professor Taub and Professor Knorr Cetina).

SOCI 40112	Ethnographic Methods
SOCI 40113	Ethnography Practicum 1
SOCI 40114 343	Ethnography Practicum 2
SOCI 40133	Content Analysis
SOCI 50017	Urban Field Research
PLSC 50900	Comparative Case Study Method

D. Special Field No. 4 (Methodology for Social Organization Research). The requirements for this special field consist of completing five courses in the following areas:

1. Two courses from Group 1 and two courses from Group 2.

Group 1. Structural analysis and related statistical analysis.

SOCI 30112	Application of Hierarchical Linear Models
SOCI 30308	Applied Regression 1
SOCI 40109	Loglinear Analysis
PPHA 31100	Statistical Methods for Policy Research
PLSC 30800	Introduction to Game Theory
PLSC 57200	Social Network Analysis

Group 2. Field and survey research methods.

SOCI 30118	Survey Research Overview
SOCI 40101	Basic Demographic Analysis
SOCI 40112	Ethnographic Methods
SOCI 40113	Ethnography Practicum - 1
SOCI 40114	Ethnography Practicum - 2
SOCI 40119	Survey Research Practicum-1
SOCI 40120	Survey Research Practicum-2
SOCI 40125	Social Network Analysis
SOCI 50017	Urban Field Research
PLSC 57200	Social Network Analysis
PBPL 41800	Questionnaire Design
STAT 33100	Sample Surveys

2. One course linking the methodological tools to substantive issues of social organizational analysis.

NOTE: The course which satisfies requirement (2) should be preapproved by the committee members (Professor Laumann and Professor Abbott).

E. Special Field No. 5 (Mathematical Sociology). This requirement consists of five courses, no less than two of which are taken from each of the following two groups.

Group 1. Rational-Choice and Game-Theoretic Models and Structural Models

SOCI 30103	Rational Foundation of Social Theory
SOCI 40125	Social Network Analysis
ECON 30500	Game Theory
ECON 30800	Social Choice and Mechanism Design
PLSC 30800	Introduction to Game Theory
PLSC 30900	Game Theoretical Applications

PLSC 57200 Social Network Analysis

Group 2. Mathematical and Statistical Models of Social Processes

SOCI 40103 Event History Analysis 1

SOCI 40104 Event History Analysis 2

SOCI 40101 Basic Demographic Analysis

HSTD 31001 Epidemiologic Methods

PLSC 30100 Mathematics for Political Science

STAT 24200 Applied Probability and Elementary Stochastic Models
or STAT 25100 (Introduction to Mathematical Probability)

STAT 31200 Introduction to Stochastic Processes

STAT 31600 Topics in Bayesian Analysis

STAT 32800 Biometry (life tables, survivorship analysis, etc.)

STAT 35600/HSTD 33100 Introduction to Survival Analysis

STUDENT HANDBOOK – Appendix D

Ph.D. Dissertation Proposal

1. Excerpts from Student Handbook

The Ph.D. dissertation is judged by its contribution to sociological knowledge and the evidence that it shows of a candidate's ability to carry out independent research. The student prepares a research proposal for the dissertation under the guidance of a special faculty committee. The proposal is subject to review by the faculty committee to determine whether the project is feasible and to assist in the development of the research. The dissertation proposal hearing is to take place no later than the end of Spring Quarter of the 5th year.

Normally, a Ph.D. thesis committee consists of a chairman plus two or three additional faculty members. The chairman of the committee must be a member of the Department of Sociology; in cases where the chair retires or leaves the University, a co-chair must be designated from the current department faculty. Students are encouraged to include faculty from other departments and schools on their thesis committee where they have special competence and relevant interests. It is assumed that in the case of theses which draw heavily from a particular research program, at least one member of the committee will not be affiliated with that program.

Early in the development of a Ph.D. thesis, a hearing of at least one hour is held with the student and the members of the committee, plus additional faculty. At this hearing, the student's proposal is accepted if it meets Departmental standards. Graduate students are given assistance in modifying, focusing, or expanding their research plans. It is essential that the student pass two Special Field Examinations before the Ph.D. proposal hearing is held. It is also expected that the dissertation committee will approve the proposal before the hearing is scheduled.

The proposal should be submitted to the to the Department office – one printed copy and electronically (on disk or by email attachment) – at least ten days, preferably two weeks, before the proposal hearing. The cover page should follow the format on page three of this appendix and specifically should include members of the dissertation committee. The printed copy of the proposal is kept on file in the department; the electronic copy is available upon request to other interested Sociology faculty. The student should deliver a copy to each committee member.

If a student fails to meet deadlines for program requirements, the Department will formally assess his/her ability to make satisfactory progress in the program. Subsequent actions will range from focused intervention to counseling the student to withdraw.

2. Some Suggestions for Preparing the Proposal

- a) State the general idea, describing it in outline form to give an overall picture of the nature and scope of the research. This should not be more than about 200 words.
- b) Describe briefly what has been done by previous investigators in the field which is closely relevant to your proposal. The purpose of this section is to explain to the reader just how your work will add to or improve the existing literature. Normally, one should cite several specific studies, but not a large number.
- c) Expound briefly the theoretical ideas being used, and the abstract nature of the process being studied. Indicate the relations between this specific study and broader issues in sociology.

Mention specific hypotheses to be examined, or questions to be answered by the research.

- d) Indicate the type of data to be used and their availability. Some reference should be made to the possibility of alternate forms or sources of data, and to the completeness and reliability of the data being used.
- e) Describe the analytical organization and the specific techniques to be employed. For any unorthodox or dubious aspects, indicate briefly what your operating assumptions are. When possible explain why the techniques being used were adopted as compared with some alternative ones.
- f) Present an outline, even if only rough or approximate, of the finished dissertation.
- g) Make generous use of section headings and subheadings to improve readability of the proposal.
- h) The typical proposal should run about 15-20 pages. There is no need to report specific results or detail of preliminary runs in the proposal, however, one or two tables can often be used advantageously. The bibliography for the proposal should be brief and illustrative only.
- i) The cover page should include the type of information included on the attached sample: (1) Ph.D. proposal; (2) student's name; (3) title of dissertation; (4) committee members; (5) quarter and year. A format for the cover page of the proposal is given on the next page.

[Format for cover page of Dissertation Proposal]

University of Chicago
Department of Sociology

TITLE IN ALL CAPITAL LETTERS

by
Student Name

Ph.D. Dissertation Proposal

Quarter Year

Committee:

Faculty Name (Chair)
Faculty Name
Faculty Name